

Robert H. Sullivan

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**FORM ADV PART IIB
BROCHURE SUPPLEMENT**

This brochure supplement provides information about Robert H. Sullivan that supplements the Heritage Wealth Management Group, Ltd.'s brochure. You should have received a copy of that brochure. Please contact Peter H. Bryan | Chief Compliance Officer if you did not receive Heritage Wealth Management Group Ltd.'s brochure or if you have any questions about the contents of the brochure or this brochure supplement.

Additional information concerning Robert H. Sullivan may be found on the SEC's website at www.adviserinfo.sec.gov.

Heritage Wealth Management Group, Ltd. is a State Registered Investment Adviser. Registration with the United States Securities and Exchange Commission or any state securities authority does not imply a certain level of skill or training.

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Educational Background and Business Experience

Form ADV Part 2B, Item 2

Robert H. Sullivan

1st Vice President | Financial Advisor

Date of Birth: 5/27/1948

Executive Summary

Current

- 1st Vice President | Financial Advisor
Heritage Wealth Management Group, Ltd

Past

- 2nd Vice President | Investments | Financial Advisor at Heritage Wealth Management Group, Ltd. Privately Held, Financial Services Industry - June 2015 – Dec 2018
- Assistant Vice President | Financial Advisor at Ameriprise Financial Services, Inc.
- Vice President at Wachovia Securities, L.L.C.
- Vice President at Merrill Lynch, Pierce, Fenner & Smith, Inc.
- Assistant Vice President at Ferris, Baker & Watts, Inc.
- Stock Broker at FSC Securities Corporation
- U. S. Army Officer | Special Operations | Civil Affairs – 450th Civil Affairs Battalion assigned to the 82nd (ABN) Division
- U. S. Army Officer | Aide-de-Camp for 2 Brigadier Generals – 2 years | Executive Officer for a Special Forces Team – 2 years | Executive Office in the 88th MP Company – 2 years | Infantry – 2 years
- U. S. Marine Corps Reserve – 5 years

Education

- Georgetown University
- George Mason University
- Randolph Macon University
- Transylvania College

Summary

My current position with Heritage involves Investment Management primarily with responsibilities involving Portfolio Development as a member of the Investment Policy Committee, a governing body which meets at least quarterly (more often if necessary) to direct the nature of potential changes to our models portfolios.

Specialties

Investment & Portfolio Management & Design, Fundamental Analysis, Community Service & Leadership

Business Experience

1st Vice President | Financial Advisor

Heritage Wealth Management Group, Ltd

Privately Held, Financial Services Industry
January 2019 – Present

2nd Vice President | Investments | Financial Advisor

Heritage Wealth Management Group, Ltd.

Privately Held, Financial Services Industry
June 2015 – January 2019

Investment Broker

Ameriprise Financial Services, Inc.

Financial Services Industry
March 2009 – May 2015

Investment Broker

Wachovia Securities, L.L.C.

Financial Services Industry
March 2004 - February 2009

Investment Broker

Merrill Lynch, Pierce, Fenner & Smith Inc.

Financial Services Industry
May 1990 – February 2004

Investment Broker

Ferris, Baker & Watts, Inc.

Financial Services Industry
February 1987 - April 1990

Investment Broker

FSC Securities Corporation

Financial Services Industry
April 1985 - January 1987

U. S. Army Officer | Special Operations | Civil Affairs

450th Battalion Assign to the 82nd (ABN) Division

Military Industry
October 1987 - October 1994

U.S. Army Officer | Aide-de-Camp for 2 Brigadier Generals | Executive Officer

Special Forces Team – 2 Years | 88th MP Company – 2 Years | Infantry – 2 Years

Military Industry
July 1980 - September 1987

U. S. Marine Corps Reserve | 5 Years

Military Industry
October 1970 – September 1975

Professional Designations

- Series 63 Designation, Course of Study & Examination
- Series 65 Designation, Course of Study & Examination
- Life & Health Insurance Agent, Course of Study & Examination, 1987

Formal Education after High School

Georgetown University

M.A.L.S. Degree in Inter-Disciplinary Studies | Business
1987 - 1997

George Mason University

B.S. Degree in Social Engineering
1970 - 1983

Randolph Macon University

Psychology | Sociology – Transferred to George Mason
1967 - 1970

Transylvania College

General Studies – Transferred to Randolph Macon
1966 - 1967

Disciplinary Information

Form ADV Part 2B, Item 3

A. Criminal or Civil Action

This section is not applicable for Robert H. Sullivan.

B. Administrative Proceeding | Federal, State, or Foreign Regulatory

This section is not applicable for Robert H. Sullivan.

C. A Self-Regulatory Organization (SRO)

This section is not applicable for Robert H. Sullivan.

D. Other Proceedings where a Professional Attainment, Designation, or License was Revoked or Suspended

This section is not applicable for Robert H. Sullivan.

* Please see the cover page for links to additional disclosures concerning Robert H. Sullivan

Other Business Activities

Form ADV Part 2B, Item 4

A. Other Investment-Related Business or Occupation

Robert H. Sullivan is not actively engaged in any other investment-related businesses or occupations.

B. Any Business or Occupation for Compensation - Not Discussed in Response to Item 4.A, above

Robert H. Sullivan is not actively engaged in any other non-investment-related businesses or occupations for compensation.

Additional Compensation

Form ADV Part 2B, Item 5

Robert H. Sullivan has no additional compensation.

Supervision

Form ADV Part 2B, Item 6

Heritage Wealth Management Group, Ltd. (HWMGroup) provides investment advisory and supervisory services in accordance with HWMGroup's policies and procedures manual. The primary purpose of the HWMGroup's policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisor's Act ("Act"). HWMGroup's Chief Compliance Officer, Peter H. Bryan, is primarily responsible for the implementation of the HWMGroup policies and procedures and overseeing the activities of HWMGroup's supervised persons. Should an employee, or investment adviser representative of HWMGroup have any questions regarding the applicability/relevance of the Act, the Rules there under, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the HWMGroup's supervision or compliance practices, please contact Mr. Bryan, he can be reached at (757) 321-3725 ext 205.

Requirements for State-Registered Advisers

Form ADV Part 2B, Item 7

A. Award or Liable for Arbitration, Civil, Self-Regulatory Organization [SRO], or Administrative Proceeding

This section is not applicable for Robert H. Sullivan.

B. Bankruptcy Petitions

This section is not applicable for Robert H. Sullivan.

* Please see the cover page for links to additional disclosures concerning Robert H. Sullivan